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Consultant	Contact	The Skinny
Cordium New York	Ben Weiner 212-515-2858	Following merger of London-based IMS Group and New York-based HedgeOp in 2012, combined entity joined forces with EvenWheel Solutions in May to form Cordium. Offers full range of compliance services to clients in the U.S., U.K. and Asia. In addition to New York, has offices in Boston, San Francisco, London and Hong Kong.
CounselWorks New York	Angelique Thompson 212-867-0200	Founded in 2006 by former Highbridge Capital general counsel Ron Resnick and Rosemary Fanelli, former general counsel at Allen & Co. Provides business-strategy, regulatory consulting and compliance-focused recruiting services for hundreds of U.S. clients. Also has web-based product that automates regulatory-filing functions.
Crowdentials Cleveland	Richard Rodman 440-829-2672	Launched in third quarter of 2013. Targets fund operators that raise capital via crowdsourcing websites. Software product designed to ensure that funds marketed under the JOBS Act only accept accredited investors. Rodman started firm with backing from incubator FlashStart.
DataArt New York	Alexei Miller 212-378-4108, ext. 4010	Custom software designer has done work for perhaps 50 hedge funds. In first half of 2013 launched a free application to make it easier for managers to file the SEC's Form PF. Plans similar launch aimed at new European Union filing requirements under the Alternative Investment Fund Managers Directive.
FrontLine Compliance Washington	Chip Arvantides 888-518-8070	Staffed by one-time SEC and Finra officials, as well as former chief compliance officers at financial firms. Develops customized compliance programs, registration packages and business-continuity plans. Also assists clients with Form PF. Primarily serves middle-market buy-side and sell-side shops.
Gordian Compliance San Francisco	Niel Armstrong 415-466-8010	Provides support for a range of investment firms, including commodity-trading advisors and hedge fund managers. Services include setting up compliance programs, running mock audits and on-site support during SEC inspections.
Kinetic Partners London	Chris Lombardy 646-867-7824	Services include forensic accounting and operational due diligence, in addition to a broad compliance offering. Hedge funds account for 90% of firm's compliance work. Helps managers with registrations and conducts mock audits. Has about 50 compliance consultants, including 25 in London, 15 in New York and more in Hong Kong and Singapore.
Mosaic Research Management New York	Troy Lavinia 212-828-8284	Firm's MosaicRM Research Compliance Monitor product allows managers to track analysts' contacts with outsiders in an effort to guard against insider trading. Works mostly with large fund operators running more than \$1 billion. Annual fees are \$25,000 to \$100,000, depending on usage. Chief executive Lavinia previously was a researcher at Elliott Management.
NorthPoint Financial New York	Pammela Ranaldi 212-819-1700	Consulting and technology services cover a range of middle- and back-office operations. One compliance offering, NorthPoint RIR, is a platform for automatically filing Form PF and other regulatory forms. A separate product alerts managers to deadlines for SEC Form 13D, 13F and similar disclosure requirements.
NRS Lakeville, Conn.	Oriana DeRose 860-596-0955	Reed Elsevier unit bills itself as a leading source of compliance technology and consulting services. Its ComplianceGuardian software offers soup-to-nuts automation of functions including regulatory filings and development of compliance policies and procedures. Firm also conducts educational seminars for employees of financial firms.
Reliance Hub New York	Gregory Florio 212-705-4285	Affiliate of Orical, a law firm founded in 2010 by former general counsel at Marathon Asset Management and former in-house counsel at Investcorp. Its Conflict Control software automates tasks involving operational, trading and compliance data. Product offered at low cost with aim of developing new clients for Orical.
Sansome Strategies San Francisco	Jennifer Dickinson 415-762-8753	Offers compliance services to private-fund managers and other investment advisors. Can draft compliance policies, conduct annual reviews and mock exams and file forms with various regulators, including the SEC's Form PF. Founded in May 2013 by Dickinson, previously of Gordian Compliance and law firm Cole-Frieman & Mallon. Sansome is backed by law partners Karl Cole-Frieman and Bart Mallon.
Titan Regulation Chicago	Julie Dixon 312-265-1589	Founded by former Citadel chief compliance officer Dixon. Helps managers register with the SEC and other regulators. Also offers staff training, writes compliance manuals and conducts mock SEC exams. Will file Form PF for hedge funds with less than \$1.5 billion of regulatory assets. Five-person team includes Megan Flaherty, who joined in April 2013 from Wolverine Asset Management. Recently opened third office in Burlingame, Calif.